

## Model Based Robot Control with Friction and Payload Estimation

Lórinç Márton  
Dept. of Electrical Engineering  
Sapientia Hungarian University of Transylvania  
540053 Tg. Mures P-ta. Trandafirilor 61. Romania  
martonl@ms.sapientia.ro

### Abstract

The present study deals with control of robotic systems with unknown friction parameters and payload mass. A tracking control algorithm which uses the model of the robotic arm combined with adaptive parameter estimation techniques were developed to solve the proposed control problem. Using Lyapunov method it was shown that the resulting controller achieves guaranteed final tracking accuracy. Simulation results are presented to illustrate the effectiveness and achievable control performance of the proposed scheme.

**Keywords** - robot control, friction, parameter estimation, Lyapunov stability

## 1 INTRODUCTION

It is well-known that nowadays the robotic applications require increased transient performances and good path tracking proprieties. To achieve these requirements the introduction of the mathematical model in the control algorithm is necessary. There are analytical methods to determine the exact mathematical model of a robot, for example using the Euler-Lagrange method [1]. The parameters of the model (masses, inertias, length of the arms) are often catalog data which are given by the manufacturer of the robotic system [2].

The friction phenomena which should be considered in every mechanical system can be described with models whose parameters are time varying, depending on external factors. These parameters cannot be determined a-priori. At the other hand there is a tendency in robotic industry to build robots with lightweight arms to avoid unnecessary energy consumption. For this reason in the robot model the mass of the payload cannot be neglected related to the masses of the arms. The mass of the payload in many application is unknown, varies according to the specific task of the robot. These consideration suggests that the friction parameters and the mass of the payload should be estimated on-line. If only the friction forces and the payload are unknown in the model it is unnecessary to use adaptive control algorithms which estimate all the parameters of the robot.

In the past years the adaptive control methods [3] became a wide spread method to control not only the linear but also the nonlinear systems with unknown parameters. Adaptive control of robotic manipulators has also been intensively studied in the control community. Early results can be found in the work of Slotine and Li [4]. The introduction of a neural network in the adaptive control law to handle

uncertainties due to payload uncertainty was proposed by Leahy et al. [5]. A decentralized adaptive control algorithm for trajectory tracking was proposed by Fu [6]. The controller can be implemented in a decentralized way i. e. a sub-controller is independently and locally equipped for each joint servo loop. Lewis et al. [7] combine the neural control technique with linear optimal control theory to control rigid manipulators with completely unknown models. Barambones and Etxebarria [8] proposes a controller for generic manipulator with unknown parameters and sliding-mode control which robustifies the design and compensates the neural approximation errors.

The rest of the paper is organized as follows: Section 2 presents the proposed control algorithm. The stability of the closed loop system and the proprieties of the tracking error were analyzed using Lyapunov techniques. In Section 3 simulations were performed using a 3 Degree Of Freedom (DOF) robot to show the applicability of the control law.

## 2 THE CONTROL ALGORITHM

### 2.1 Robot Modelling

The mathematical model of an open chain, rigid,  $n$  degree of freedom robot is given by:

$$H(\underline{q})\ddot{\underline{q}} + C(\underline{q}, \dot{\underline{q}})\dot{\underline{q}} + D(\underline{q}) = \underline{\tau} - \underline{h}_F(\dot{\underline{q}}) \quad (1)$$

where  $\underline{q}$  is the joint position vector of the robot and the vector  $\underline{\tau}$  represents the control signal given by current controlled DC servos. The following notations is used:  $H(\underline{q})$  is the generalized inertia matrix,  $C(\underline{q}, \dot{\underline{q}})$  shows the effect of the centripetal and Coriolis forces,  $D(\underline{q})$  is the gravity effect,  $\underline{h}_F(\dot{\underline{q}})$  represents the effect of the friction force which acts on the joints of the robot.

The following properties of the robot model are well known:

1. The matrix  $H(\underline{q})$  is positive definite
2. The matrix  $\dot{H}(\underline{q}) - 2C(\underline{q}, \dot{\underline{q}})$  is skew-symmetric, namely for any  $\underline{x} \in R^n$  we have  $\underline{x}^T(\dot{H}(\underline{q}) - 2C(\underline{q}, \dot{\underline{q}}))\underline{x} = 0$

To develop a control law with friction and payload estimation firstly let us consider the following assumptions:

**Assumption I (Payload)**

Let us assume that the dimensions of the payload can be neglected compared with the dimensions of the robotic arm so its length and inertia is neglected. In this case the terms of the robotic model can be written in the following form:

$$H(\underline{q}) = H_R(\underline{q}) + mH_L(\underline{q}) \quad (2)$$

$$C(\underline{q}, \dot{\underline{q}})\dot{\underline{q}} + D(\underline{q}) = C_R(\underline{q}, \dot{\underline{q}})\dot{\underline{q}} + D_R(\underline{q}) + m(C_L(\underline{q}, \dot{\underline{q}})\dot{\underline{q}} + D_L(\underline{q})) \quad (3)$$

where  $m$  is the mass of the payload.  $H_R$ ,  $C_R$  and  $D_R$  are terms of the robotic arm model without payload.  $H_L$ ,  $C_L$  and  $D_L$  are known terms which can be determined from the robot model. The mass of the payload is bounded.

**Assumption II (Friction Modelling)**

The friction force acts separately on each joint of the robot. It can be written in a linearly parameterized form:

$$h_{Fi}(\dot{q}_i) = \mu(\dot{q}_i)\underline{\theta}_{PFi}^T \underline{\xi}_{PFi}(\dot{q}_i) + (1 - \mu(\dot{q}_i))\underline{\theta}_{NFi}^T \underline{\xi}_{NFi}(\dot{q}_i) \quad (4)$$

where  $\mu(\dot{q}_i) = 1$  if  $\dot{q}_i \geq 0$  and 0 otherwise. The vectors  $\underline{\theta}_{Fi}$  contains the unknown parameters of the friction model and  $\underline{\xi}_{Fi}(\dot{q}_i)$  are known regressor vectors. This relation means that the friction can be described by two separate linearly parameterized models, one for the positive velocity regime ( $P$ ) and the other for negative velocity regime ( $N$ ). The switching between these two models occurs at the zero velocity. If we incorporate the switching function  $\mu$  in the regressor vector we have:

$$h_{Fi}(\dot{q}_i) = \underline{\theta}_{Fi}^T \underline{\xi}_{Fi}(\dot{q}_i) \quad (5)$$

where  $\underline{\theta}_{Fi} = (\underline{\theta}_{NFi} \ \underline{\theta}_{PFi})^T$  and  $\underline{\xi}_{Fi}(\dot{q}_i) = (\mu(\dot{q}_i)\underline{\xi}_{PFi}(\dot{q}_i) \ (1 - \mu(\dot{q}_i))\underline{\xi}_{NFi}(\dot{q}_i))^T$

## 2.2 The control problem

The problem is to design a control input  $\underline{\tau} = (\tau_1 \ \tau_2 \ \dots \ \tau_n)^T$  such that the joint position  $\underline{q} = (q_1 \ q_2 \ \dots \ q_n)^T$  track the desired trajectory  $\underline{q}_d = (q_{1d} \ q_{2d} \ \dots \ q_{nd})^T$ . The desired trajectories  $q_{id}$  are known bounded functions of time with bounded known derivatives.

We define the error metric  $S_i$  that describes the desired dynamics of the error system for the  $i$ 'th joint:

$$S_i(t) = \left(\frac{d}{dt} + \lambda_i\right)e_i, \quad e_i = q_i - q_{di} \quad (6)$$

where  $\lambda_i$  is positive constant.

Using this metric the control problem can be reformulated as follows: *design a control law  $\underline{\tau}$  such that the tracking error metrics  $S_i(t)$  satisfy  $|S_i(t)| \rightarrow 0$  for  $t \rightarrow \infty$ .*

The error dynamics for the entire robot can be written in the form:

$$\underline{S} = \dot{\underline{e}} + \underline{\Lambda}\underline{e} \quad (7)$$

with  $\underline{S} = (S_1(t) \ S_2(t) \ \dots \ S_n(t))^T$ ,  $\underline{e} = (e_1 \ e_2 \ \dots \ e_n)^T$ , and  $\underline{\Lambda} = \text{diag}(\lambda_i)$  is a diagonal matrix with positive elements.

Using the relation (1) and **Assumption I** we get:

$$\begin{aligned} H(\underline{q})\dot{\underline{S}} &= -C_R(\underline{q}, \dot{\underline{q}})\dot{\underline{q}} - D_R(\underline{q}) - H_R(\underline{q})(-\ddot{\underline{q}}_d + \underline{\Lambda}\dot{\underline{e}}) \\ &\quad - m(C_L(\underline{q}, \dot{\underline{q}})\dot{\underline{q}} + D_L(\underline{q}) + H_L(-\ddot{\underline{q}}_d + \underline{\Lambda}\dot{\underline{e}})) - \underline{h}_F(\dot{\underline{q}}) + \underline{\tau} \end{aligned} \quad (8)$$

## 2.3 Control Law

The control law is formulated as follows:

$$\underline{\tau} = H_R(\ddot{\underline{q}}_d - \underline{\Lambda}\dot{\underline{e}}) + C_R(\dot{\underline{q}}_d - \underline{\Lambda}\underline{e}) + D_R + \hat{m}\underline{\xi}_m + \hat{\underline{h}}_F(\dot{\underline{q}}) - K_S\underline{S} \quad (9)$$

where  $\underline{\xi}_F$  is defined in **Assumption II**,  $\underline{\xi}_m = H_L(-\ddot{\underline{q}}_d + \underline{\Lambda}\dot{\underline{e}}) + C_L(-\dot{\underline{q}}_d + \underline{\Lambda}\underline{e}) + D_L$ .  $\hat{m}$  denotes the estimated value of the payload mass and  $\hat{\underline{h}}_F$  denotes the estimated friction force.

The matrix  $K_S$  is defined as a diagonal matrix  $K_S = \text{diag}(K_{S_i})$  where  $K_{S_i} > 0$ .

The adaptation laws for the friction parameters ( $\hat{\underline{\theta}}_{Fi}$ ) in the  $i$ 'th joint and for the mass of the payload ( $\hat{m}$ ) are:

$$\dot{\hat{\theta}}_{ij} = \Gamma_{ij} S_i \xi_{Fij} \quad \dot{\hat{m}} = \gamma_m \underline{S}^T \xi_{\underline{m}} \quad (10)$$

where  $\Gamma_i = \text{diag}(\gamma_{ij})$  with  $\gamma_{ij} > 0$  and  $\gamma_m > 0$ .

## 2.4 Lyapunov analysis

In this Subsection will be shown that the introduced control law solves the control problem presented in Subsection 2.2. To examine the proposed control law let us consider the following Lyapunov-like function:

$$V(t) = \frac{1}{2} \underline{S}^T H(\underline{q}) \underline{S} + \frac{\gamma_m^{-1}}{2} \tilde{m}^2 + \frac{1}{2} \sum_{i=1}^n \tilde{\underline{\theta}}_{Fi}^T \Gamma_i^{-1} \tilde{\underline{\theta}}_{Fi} \quad (11)$$

The time derivate of  $V(t)$  is given by:

$$\dot{V}(t) = \underline{S}^T H(\underline{q}) \dot{\underline{S}} + \frac{1}{2} \underline{S}^T \dot{H}(\underline{q}) \underline{S} + \gamma_m^{-1} \tilde{m} \dot{\tilde{m}} + \sum_{i=1}^n \tilde{\underline{\theta}}_{Fi}^T \Gamma_i^{-1} \dot{\tilde{\underline{\theta}}}_{Fi} \quad (12)$$

where  $\tilde{m} = m - \hat{m}$  and  $\tilde{\underline{\theta}}_{Fi} = \underline{\theta}_{Fi} - \hat{\underline{\theta}}_{Fi}$  are the estimation errors for the payload mass and friction parameters, respectively.

By substituting the expression of the control law (9) in the equation of error dynamics (8) and adding  $(C_R + mC_L)(-\underline{\dot{q}}_d + \Lambda \underline{e}) - (C_R + mC_L)(-\underline{\dot{q}}_d + \Lambda \underline{e})$  on the right side of the obtained relation we have:

$$H(\underline{q}) \dot{\underline{S}} = -C \underline{S} - \tilde{m} (H_L(-\underline{\dot{q}}_d + \Lambda \underline{e}) + C_L(-\underline{\dot{q}}_d + \Lambda \underline{e}) + D_L) - \tilde{h}_F(\underline{\dot{q}}) - K_S \underline{S} \quad (13)$$

In the view of (13) and robot arm propriety 2 and introducing the expression of adaptation laws (10) the derivate of Lyapunov function (12) becomes:

$$\dot{V}(t) = -\underline{S}^T K_S \underline{S} \leq 0 \quad (14)$$

In the equilibrium we have  $S(t) = 0$ . According to Lyapunov stability theory the error metric  $S(t)$  converge to equilibrium state 0 and the control which uses the control law (9) and the adaptation law (10) is globally and asymptotically stable.

## 3 Simulation Results

We demonstrate the performance of the proposed control algorithm for a 3 DOF cylindrical manipulator. The first joint represents the rotation around the robot base. The second joint represents the vertical, and the third joint represents the horizontal translation of the payload (see Figure 1). The dynamics of this robotic system is nonlinear with strong coupling between the first and third joints.

The equation of motion in terms of generalized coordinates  $\underline{q} = (q_1 \ q_2 \ q_3)^T$  representing the applied torques  $\underline{\tau} = (\tau_1 \ \tau_2 \ \tau_3)^T$  at these joints is given by:

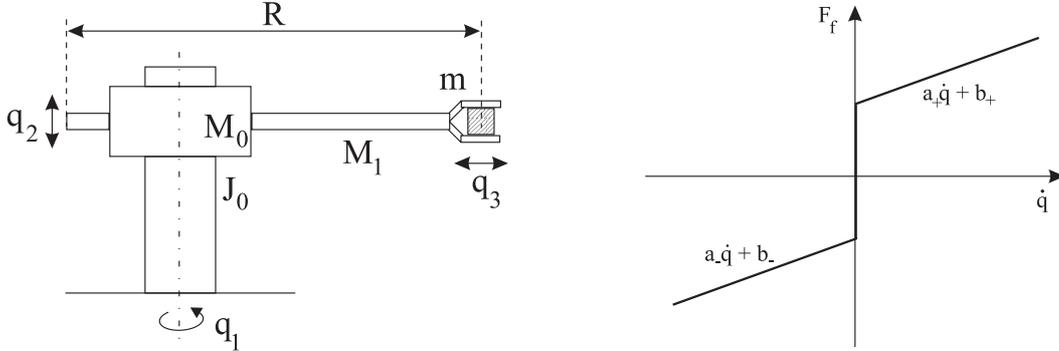


Figure 1: The cylindrical robot and the used friction model

$$\begin{pmatrix} J_0 + M_1 \frac{R^2}{3} + M_1(q_3^2 - Rq_3) + mq_3^2 & 0 & 0 \\ 0 & M_0 + M_1 + m & 0 \\ 0 & 0 & M_1 + m \end{pmatrix} \begin{pmatrix} \ddot{q}_1 \\ \ddot{q}_2 \\ \ddot{q}_3 \end{pmatrix} + \begin{pmatrix} (2M_1q_3 - M_1R + 2mq_3)\dot{q}_3\dot{q}_1 \\ (M_0 + M_1 + m)g \\ -\frac{1}{2}(2M_1q_3 - M_1R + 2mq_3)\dot{q}_1^2 \end{pmatrix} = \begin{pmatrix} \tau_1 - h_{F1}(\dot{q}_1) \\ \tau_2 - h_{F2}(\dot{q}_2) \\ \tau_3 - h_{F3}(\dot{q}_3) \end{pmatrix} \quad (15)$$

$J_0$  and  $M_0$  represents the inertia and the mass of the base of the robot,  $M_0$  is the mass of the horizontal link,  $m$  is the mass of the payload,  $R$  is the length of the horizontal link and  $g$  is the gravitational acceleration.

In the friction model the viscous friction term which is proportional to velocity and the constant Coulombic friction term which depends only on the sign of velocity were considered. For each joint the friction term can be written in the following form:

$$h_{Fi}(\dot{q}_i) = \mu(\dot{q}_i)(a_{iP}\dot{q}_i + b_{iP}) + (1 - \mu(\dot{q}_i))(a_{iN}\dot{q}_i + b_{iN}) \quad i = 1 \dots 3 \quad (16)$$

The switching function  $\mu$  is defined in **Assumption II**. Note that the parameters ( $a_{iP}$ ,  $a_{iN}$  respectively  $b_{iP}$  and  $b_{iN}$ ) may differ in the positive and negative velocity regimes.

The expressions of the model matrices and vectors needed in the control law ( $H_R$ ,  $H_L$ ,  $C_R$ ,  $C_L$ ,  $D_R$ ,  $D_L$ ) can easily be obtained from (15).

The numerical values chosen for simulation proposes were chosen as  $J_0 = 0.01kgm^2$ ,  $M_0 = 2kg$ ,  $M_1 = 1kg$ ,  $R = 1m$ ,  $g = 9.81m/s^2$ ,  $m = 0.5kg$   $a_{iN} = -a_{iP} = 2N$ ,  $b_{iN} = b_{iP} = 0.2Ns/m$ ,  $i = 1 \dots 3$ . The external disturbances  $d_i$  was modelled as an additive random signal with maximum amplitude  $d_i \leq d_{iM} = 0.1N$ ,  $i = 1 \dots 3$ . The mass of the payload and the friction parameters were considered totally unknown. For the adaptation laws the initial values for all these parameters were taken 0.

The reference trajectory was chosen in such way that all the joints to have acceleration, deceleration and constant speed regimes. The third joint is tested both in positive and negative velocity regimes.

Simulations were performed with and without adaptation. As it can be seen the control guarantees precise tracking and tracking error metrics convergence. The performance of the adaptation laws can be seen in the Figure 5. Due to the switching function  $\mu$  the friction parameters are tuned separately in positive and negative velocities. It can be noticed in the case of the third joint which is used both for negative and positive velocities.

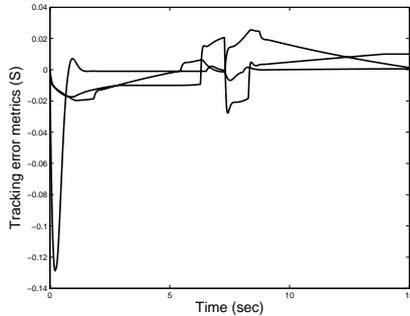


Figure 2: Tracking error metrics

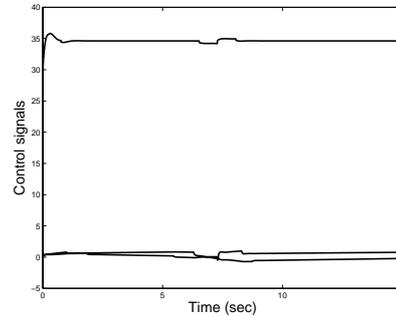


Figure 3: Command signal

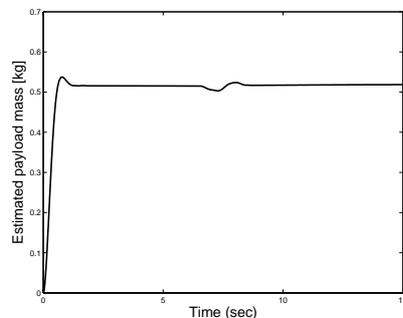


Figure 4: Estimated payload mass

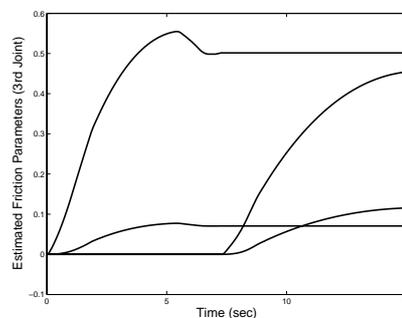


Figure 5: Estimated friction parameters (3rd joint)

## References

- [1] T. ZODIAC, *Theory of Robot Control*. Springer-Verlag London Ltd, 1996.
- [2] P. Corke and B. Armstrong-Helouvy, "A search for consensus among model parameters reported for the Puma 560 robot," in *Proc. IEEE Conf. Robotics and Automation*, 1994, pp. 1608–1613.
- [3] J.-J. E. Slotine and W. Li, *Applied Nonlinear Control*. Englewood Cliffs, New Jersey: Prentice Hall International, Inc., 1991.
- [4] J.-J. Slotine and W. Li, "Adaptive manipulator control: A case study," *IEEE Trans. on Automatic Control*, vol. 33, no. 11, pp. 995–1003, November 1988.
- [5] M. Leahy, M. Johnson, and S. Rogers, "Neural network payload estimation for adaptive robot control," *IEEE Trans. On Neural Networks*, vol. 2, no. 1, pp. 93–100, January 1991.
- [6] L.-C. Fu, "Robust adaptive decentralized control of robot manipulators," *IEEE Trans. on Automatic Control*, vol. 37, no. 1, pp. 106–110, January 1992.
- [7] Y. Kim, F. Lewis, and D. Dawson, "Intelligent optimal control of robotic manipulators using neural networks," *Automatica*, vol. 36, pp. 1355–1364, 2000.
- [8] O. Barambones and V. Etxebarria, "Robust neural control for robotic manipulators," *Automatica*, vol. 38, pp. 235–242, 2002.